

**Approved by  
OJSC «SIBUR Holding»  
Board of Directors  
Minutes No. 162 dated March 25, 2013**

**REGULATIONS ON OJSC «SIBUR HOLDING» INSIDER INFORMATION**

**(version No. 5)**

**Saint Petersburg  
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## 1. GENERAL PROVISIONS

### 1.1 Goals of these Regulations

These Regulations are developed in accordance with the Insider Information Law, subordinate legislation adopted under the Insider Information Law, OJSC «SIBUR Holding» (hereinafter “Company”) Charter, Regulations on Company’s Board of Directors and other internal documents of the Company, as well as foreign statutory acts regulating the issues related to insider trading and manipulation on the stock market in order to ensure fair pricing of Company’s Securities and financial instruments, equal rights of investors in terms of the timing and volume of receipt of information, consolidating the trust of investors, suppression of abuse in the form of Insider Information misuse and/or market manipulation.

### 1.2 Field of Regulations Application

These Regulations govern the use of Company’s Insider Information and define:

- Insider Information List;
- rules of Insider List maintenance and notification;
- Access procedure to Insider Information;
- confidentiality protection rules of Insider Information;
- rules of Insider Information Law compliance control;

### 1.3 Terms and Definitions

- 1.3.1 **Insider Information access:** familiarization or the opportunity for certain persons to become familiar with the information included in the Insider Information List.
- 1.3.2 **Insider Information Law:** Federal Law No. 224-FZ *On Countering the Unlawful Use of Insider Information and Market Manipulation and Making Amendments to Separate Legislative Acts of the Russian Federation* dated July 27, 2010.
- 1.3.3 **Market Abuse Directive:** Directive of the European Parliament No.2003/6/EC dated January 28, 2003.
- 1.3.4 **Insider Information:** precise and specific information that has not been distributed or presented, the distribution or presentation of which could potentially have a material impact on the value of financial instruments, foreign currency and/or commodities and which relates to the information included in the relevant insider information list.
- 1.3.5 **Insiders:** persons referred to in Section 2 hereof.
- 1.3.6 **Supervisor:** employee of the Company or the Company’s Managing Organization responsible for exercising control over compliance with the requirements set out in the Insider Information Law, related statutory legal documents, and foreign statutory acts.
- 1.3.7 **Company:** SIBUR Holding OJSC.
- 1.3.8 **Transactions with financial instruments, foreign currency and/or commodities (“Transactions”):** execution of transactions or other actions aimed at acquisition, alienation or other change in rights over financial instruments, foreign currency and/or commodities, as well as actions related to the assumption of obligations to commit the specified actions, including the submission of requests (giving of instructions).
- 1.3.9 **Trade Organizers:** stock, currency, commodity exchange or another organization arranging the trade of financial instruments, foreign currency and/or commodities in accordance with Russian Federation laws.
- 1.3.10 **Provision of information:** actions aimed at making information available to a specific range of persons in accordance with the procedure guaranteeing its finding and receipt by this range of persons.
- 1.3.11 **Distribution of information:** actions:

- a) aimed at the receipt of information by an indefinite number of persons or at the transfer of information to an indefinite number of persons, including by way of its disclosure in accordance with the securities laws of the Russian Federation;
  - b) related to the publication of information in the mass media;
  - c) related to the distribution of information via public electronic, information and telecommunication networks of public use (including Internet).
- 1.3.12 **Company's Management Organization:** a legal entity exercising the authorities of the one-person executive body of the Company on a contractual basis;
- 1.3.13 **FCSM of Russia:** Federal Commission for Securities Market of the Russian Federation and/or its regional bodies.
- 1.3.14 **Securities:** shares, bonds, options of the Company and other issuable securities placed by the Company under Russian Federation laws, as well as shares, including depository receipts issued for Company's shares, Eurobonds and other financial instruments the price of which depends on the price of Company's shares admitted for trading on foreign stock exchanges.

## **2. COMPANY'S INSIDERS**

- 2.1. The following persons are regarded as Insiders:
- 2.1.1. Company;
  - 2.1.2 persons having access to the Company's Insider Information on the basis of contracts signed with the Company, including auditors (audit firms), appraisers (legal entities, with which appraisers have signed labor contracts), professional securities market players, credit institutions, insurance companies;
  - 2.1.3. persons owning at least 25 (twenty-five) percent of the Company's voting shares, as well as persons who by virtue of ownership of shares (interest) in the authorized capital of the said persons have access to the Insider Information under federal laws or constituent documents;
  - 2.1.4 members of the Company's Board of Directors;
  - 2.1.5 members of the Company's Management Board (Company's managing organization);
  - 2.1.6 one-person executive body of the Company (including the managing organization, Manager or temporary one-person executive body);
  - 2.1.7 members of the Company's Audit Commission;
  - 2.1.8 persons having access to information on sending voluntary, mandatory or competitive proposals on Company's share acquisition under the Russian Federation laws on joint-stock companies, including persons who have sent voluntary or competitive proposals to a joint-stock company, a credit institution that has provided a bank guarantee, an appraiser (legal entities with which the appraisers have signed labor contracts);
  - 2.1.9 information agencies authorized to Distribute or Provide the Company's information;
  - 2.1.10 persons assigning ratings to the Company and Company's securities;
  - 2.1.11 individuals having access to the Company's Insider Information under labor and/or civil law contracts signed with corresponding persons, as well as employees having access to the Company's Insider Information (existence of access to the Insider Information is defined by the Supervisor).

## **3. RIGHTS AND OBLIGATIONS OF THE COMPANY'S INSIDERS**

### **3.1 Rights of the Company's Insiders**

- 3.1.1 Members of the Board of Directors, members of the Management Board, a body performing the functions of the one-person executive body (One-person Executive Body and members of the collective executive body of the Managing Organization) and

members of the Company's Audit Commission have the right of access to any of the Company's Insider Information.

- 3.1.2 Insiders not specified in Paragraph 3.1.1 of these Regulations have the right of access to Insider Information that they require to fulfill their obligations expressly provided for in Russian Federation legislation, labor or civil law contracts with the Company or local regulations of the Company.

### **3.2 Obligations of the Company's Insiders**

- 3.2.1 The Company's Insiders shall comply with the procedure of executing transactions with the Company's Securities set forth in these Regulations, and other requirements and obligations established by these Regulations, other local regulatory documents of the Company and the applicable foreign legislation in relation to them.
- 3.2.2 The Company's Insiders shall use all reasonable efforts to protect and prevent unauthorized use and distribution of the Insider Information.
- 3.2.3 The Company's Insiders shall inform the Supervisor of the loss of documents, files containing the Insider Information, certificates of authorization, passes, passwords, electronic keys and smart cards containing the information itself or secret details for Access to it, or of the detection of unauthorized Access to the Insider Information. The insider shall fulfill this obligation as soon as possible, but not later than one (1) business day after the actual detection of the loss.
- 3.2.4 Obligations are related to the specific Insider Information provided for in these Regulations shall be terminated from the moment of specific Insider Information disclosure in accordance with the procedure and in compliance with the requirements of Russian Federation legislation and foreign regulations.
- 3.2.5 Members of the Board of Directors, Management Board, and Audit Commission who are not engaged in labor relations with the Company, shall confirm the fact of familiarization with these Regulations in writing and comply with the provisions of these Regulations in full.

### **3.3 Company's Insiders are not permitted to:**

- a) use the Insider Information to execute Transactions with financial instruments, foreign currency and/or commodities related to the Insider Information at their own expense or at the expense of a third party except for Transactions executed as part of fulfilling obligations for purchase or sale of financial instruments, foreign currency and/or commodities which have occurred, if such an obligation arose as a result of a Transaction executed before the person became aware of the Insider Information;
- b) use the Insider Information by way of its transfer to another person, except for the transfer of this information to a person included in the Insider List in connection with the fulfillment of obligations set out by federal laws or in connection with the execution of labor duties or a contract;<sup>1</sup>
- c) use the Insider Information by way of giving recommendations to third parties obliging or otherwise inducing them to acquire or sell financial instruments, foreign currency and/or commodities; perform actions related to market manipulation.

The Company's Insiders are recommended to refrain from executing transactions with the Company's Securities while they have access to the Insider Information, except for the Transactions executed as part of obligations for purchase or sale of the Company's Securities that arose from the Transactions executed before the moment when a person became or should have become aware of his/her inclusion in the Insider List and except for any other transactions with the Company's Securities executed as part of option of any other programs of a promotional nature.

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<sup>1</sup> NOTE: The transfer of Insider Information for its publication to editorial staff of mass media, its editor-in-chief, journalist or another employee, and its publication in mass media are not considered to be a violation of this paragraph. However, the transfer of such information for its publication or its publication do not exempt from liability for its unlawful receipt, use, disclosure of information that constitutes a state, tax, commercial, official and bank secret, secrecy of communication (in terms of information about postal money transfers), and other legally protected secrets, and do not cancel the obligation to disclose or provide Insider Information.

#### **4. SPECIFIC FEATURES OF INSIDERS' TRANSACTIONS WITH SECURITIES ELIGIBLE FOR TRADE IN RUSSIA.**

- 4.1. An exhaustive list of Insider Information is established by the FCSM of Russia.
- 4.2. The List of Company's Insider Information is contained in Attachment 1 hereto.
- 4.3. The Company's Insiders should notify the Company and the FCSM of Russia of transactions they executed with the Company's Securities, foreign currency and/or commodities related to the Insider Information to which they have access. The procedure of sending notices is set out under Russian Federation legislation.
- 4.4. Upon a reasoned (justified) written requirement (request) of the FCSM of Russia, the Company's Insiders shall provide, within the time period specified in this requirement (request), documents, explanations, information in written and oral form accordingly including information that constitutes a commercial, official, bank secret, secrecy of correspondence (in terms of information about postal money transfers) and other legally protected secrets (excluding state and tax secrets), which are necessary to prevent, identify and suppress unauthorized use of Insider Information and/or market manipulation.
- 4.5. The Company is obliged to transfer the Insider List (changes to the Insider List) to Trade Organizers through which the transactions with financial instruments and/or commodities are performed, subject to the procedure established by the Regulation of the FCSM of Russia.
- 4.6. Upon a reasoned (justified) written requirement (request) of the FCSM of Russia, the Company shall provide, within the time period specified in this requirement (request), documents, explanations, information in written and oral form accordingly, including information that constitutes a commercial, official, bank secret, secrecy of correspondence (in terms of information about postal money transfers) and other legally protected secrets (excluding state and tax secrets), which are necessary to prevent, identify and suppress unauthorized use of Insider Information and/or market manipulation.
- 4.7. Access of persons to Insider Information (except for access of persons specified in Paragraph 3.1 hereof) shall be effected on the basis of a written request sent to Trade Organizers through which the transactions with financial instruments and foreign currency are executed.

#### **5. SPECIFIC FEATURES OF INSIDERS' TRANSACTIONS WITH SECURITIES PLACED ABROAD.**

- 5.1. When placing Securities on foreign stock exchanges the Company and its Insiders shall comply with the international regulations governing the circulation of such Securities.
- 5.2. In particular, the Company enters into a commitment to provide the insider list upon the request of the authorized body of the country where such Securities were placed.
- 5.3. General rules and prohibitions for Insiders:
  - 5.3.1. Insiders shall comply with the prohibition on the execution of transactions with the Company's Securities during the "Black out" Period:
    - a) a period of 60 days immediately preceding the preliminary announcement of annual results of the Company or the publication of annual financial statements of the Company and Company's subsidiaries, which annual results and financial statements may affect the price of the Securities.
    - b) a period of 30 days immediately preceding the announcement of the quarterly results of the Company and Company's subsidiaries, which annual results and financial statements may affect the price of the Securities.The schedule of "Black out" Periods is placed on the Company's website ([www.sibur.ru](http://www.sibur.ru)).
  - 5.3.2. Insiders may not use the Insider Information in transactions with the Company's Securities. If the Insider doubts whether the prohibition is applied to him/her he/she must consult the Supervisor.
- 5.4. Insiders are advised to read the rules and guidelines on the Market Abuse Directive application, which contain, in particular, the approximate, unclosed list of Insider

Information ([http://www.esma.europa.eu/system/files/o6\\_562b.pdf](http://www.esma.europa.eu/system/files/o6_562b.pdf)). If the Insider doubts whether the Market Abuse Directive is applied he/she is recommended to consult the Supervisor.

## **6. RULES OF ACCESS TO INSIDER INFORMATION**

- 6.1 The Company may introduce special procedures for gaining access to the Company's Insider Information to prevent unauthorized use of the Company's Insider Information, including to:
- 6.1.1. limit access to specific Insider Information for the Company's employees;
  - 6.1.2. establish control over Access of persons (including the Company's employees) to specific premises occupied by the Company (including non-working days);
  - 6.1.3. define places and sources for Insider Information storage and permit access to specific Insider Information in certain places, from certain information carriers or at certain access sources;
  - 6.1.4. allow reading data representing Insider Information only in special places;
  - 6.1.5. introduce procedures for protection of work places and document storage places from free access and monitoring;
  - 6.1.6. use systems for information and technical systems protection, preventing loss of Insider Information and unauthorized access to such information, including:
    - a) differentiation of users' authorities of access to information resources of automated and information systems of the Company's computer corporate network containing Insider Information (this is ensured using system differentiation tools, use of individual identifiers and passwords, electronic keys, etc.);
    - b) administrative and technical measures aimed at elimination of unauthorized access to Insider Information: blocking user access to the system upon identification of unauthorized access attempts;
    - c) elimination of unauthorized connection of external devices and software product installation;
    - d) elimination of Insider Information transfer via public networks (including Internet);
    - e) use of certified cryptographic information protection (encryption) facilities to protect Insider Information transferred via communication channels;
    - f) verify compliance with the established procedure for use by the Company's employees of the communication tools provided by the Company for business purposes.
- 6.2 Insiders have the right of access to Insider Information they require to perform their duties directly envisaged by Russian legislation or applicable foreign legislation, labor and civil law agreements with the Company.
- 6.3 Access to Insider Information (except for access of persons specified in Paragraph 3.1.1 hereof) shall be granted upon the official inclusion of the person in the Company's Insider List and receipt by such person of the official notification of his/her inclusion in the Company's Insider List.

## **7. INSIDER INFORMATION CONFIDENTIALITY PROTECTION RULES**

- 7.1 This section shall apply until the moment of public disclosure of the Insider Information subject to the procedure envisaged by Russian legislation or applicable foreign legislation.
- 7.2 To protect the confidentiality of Insider Information Insiders shall comply with the procedure for Insider Information use envisaged by these Regulations. Either the Company's internal documents or an agreement between the Company and an insider

- may provide for the insider's obligations, other than envisaged herein, aimed at preserving the confidentiality of Insider Information.
- 7.3 To preserve the confidentiality of the Insider Information the Company:
- 7.3.1 ensures the insider list is maintained and regularly updated;
  - 7.3.2 notifies Insiders of their inclusion in the Insider List / removal from the Insider list;
  - 7.3.3 ensures control over activities of the persons possessing the Insider Information;
  - 7.3.4 ensures the procedure of access to Insider Information established hereby;
  - 7.3.5 obtains written obligations on insider information non-disclosure from the members of executive bodies and Company's employees;
  - 7.3.6 ensures compliance with the Insider Information use procedure;
  - 7.3.7 destroys documents containing Insider Information and not subject to storage in a timely manner.
- 7.4 To protect the Insider Information confidentiality the Company may take any actions, other than those envisaged hereby, aimed at preserving the confidentiality of the Insider Information.
- 7.5 The Company's employees included in the Insider List and possessing the Insider Information by virtue of their employment duties, may not transfer such information to third parties and other employees, whose access clearance does not provide for the possibility of Insider Information possession.
- 7.6 A person who does not have access to the Insider Information, but actually obtained such access shall:
- 7.6.1 stop reading the Insider Information;
  - 7.6.2 take exhaustive measures to preserve the confidentiality of such Insider Information;
  - 7.6.3 exclude both Distribution and Provision of such Insider Information;
  - 7.6.4 immediately notify the line manager and Supervisor of the fact of reading the Insider Information;
  - 7.6.5 act in accordance with the Supervisor's instructions.
- 7.7. Employees of the Company and/or its managing organization, who communicate with mass media and the public by virtue of their employment duties, shall take measures to refute promptly any unreliable information presented as the Insider Information in accordance with the procedure established by the local regulations of the Company and/or its Management Organization.

## **8. REQUIREMENTS FOR THE TERMS OF LABOR AND CIVIL LAW CONTRACTS WITH INSIDERS**

- 8.1. Labor contracts signed with employees who by virtue of their employment duties gain access to the Company's Insider Information shall stipulate the employee's obligations on compliance with the requirements and restrictions established by Russian legislation or applicable foreign legislation.
- 8.2. Civil law contracts envisaging the possibility of counterparty access to the Company's insider Information shall stipulate the counterparty's obligations on compliance with the requirements and restrictions established by Russian legislation or applicable foreign legislation, as well as counterparty's obligations to inform the Company of the fact of loss of documents and data containing Insider Information. These obligations of the counterparty shall enter into force in the event of actual receipt by the counterparty of Insider Information and relevant notice from the Company.



## **9. CONTROL OVER COMPLIANCE WITH LEGISLATION ON INSIDER INFORMATION. COMPANY'S SUPERVISOR**

- 9.1 The Company shall appoint a Supervisor from its employees or its managing organization's employees, to be responsible for control over compliance with the requirements of the Insider Information Law and regulations adopted in accordance with the Law. When exercising authorities the Supervisor is accountable to the Company's Board of Directors.
- 9.2. Rights and obligations, as well as the operating procedure of the Supervisor shall be defined by local regulations of the Company or its Managing Organization.

## **10. LIABILITY**

### **10.1 Liability of the Company's Insiders**

The Company's Insiders shall be liable for illegal use of the Insider Information and may be brought to disciplinary, administrative, criminal or civil law account in accordance with Russian Federation laws, foreign regulations and terms of the agreement with the Company.

### **10.2 Third-Party Liability**

10.2.1 Persons who have violated the Insider Information Law and Regulations adopted under the Law, as well as the provisions of these Regulations shall be held liable pursuant to Russian Federation laws and foreign regulations.

10.2.2 Persons who have permitted or who contributed to unauthorized distribution of Insider Information, execution of transactions or other unauthorized use of Insider Information shall be liable to the Company for their culpable actions or omission.

### **10.3 Indemnification of the Company**

The Company may require from Insiders guilty of unauthorized use and/or distribution of Insider Information to reimburse losses incurred by the Company as a result of the above mentioned unauthorized actions, subject to the procedure envisaged by legislation.

### **10.4 Company's Liability**

The Notifications of Insiders are of a confidential nature. Company officers and members of the Board of Directors shall bear responsibility for unauthorized use and Distribution of this information in accordance with Russian Federation laws and foreign regulations.

Attachment No. 1  
to the Regulations  
on Insider Information  
of OJSC «SIBUR Holding»

### **Company's Insider Information List**

- 1) Information on convocation and holding of the General Meeting of Shareholders, including the decisions taken by the General Meeting of Shareholders;
- 2) Information on holding the Company's Board of Directors meetings including the following decisions made by the Company's Board of Directors:
  - on election (re-election) of the Chairman of the Board of Directors and in the event of his/or absence on the Board of Directors member acting as a Chairman of the Board of Directors;
  - on the consent of the Company's one-person executive body as well as members of its collective executive body to hold concurrently positions in administrative authorities of other organizations;
  - on placement of issuable Securities of the Company;
  - on definition of the offer price or repurchasing price of the Company's shares, and on definition of monetary value of property (non-monetary assets) contributed as a payment for placed shares;
  - on acquisition of Securities placed by the Company by the Company;
  - on creation of the Company's executive body and early termination (suspension) of its authorities including the authorities of the management organization or manager;
  - on recommendations related to the amount of dividends on the Company's shares and procedure of their payment;
  - on approval of the Company's internal documents;
  - on approval of transactions recognized as major transactions and/or non arm's-length transactions in accordance with Russian Federation laws;
  - on approval of the agenda of the General Meeting of Shareholders and other decisions related to preparation, convocation and holding of the General Meeting of Shareholders of the Company;
  - on submission of issues (proposal to the General Meeting of Shareholders of the Company which is a joint-stock company, to make decisions on issues) specified in Paragraphs 2, 6 and 14-19, Clause 1, Article 48 of the Federal Law No.208-FZ *On Joint-Stock Companies* dated 26.12.1995, as well as the issue related to the transfer of powers of the Company's one-person executive body to the management company or manager;
  - on recommendations related to the voluntary, including competitive or mandatory proposal provided for in Chapter XI<sup>1</sup> of the Federal Law *On Joint-Stock Companies* which was received by the Company;
  - on approval of a registrar maintaining the Company's registered security register, as well as terms and termination of the agreement with it;
  - on establishment (liquidation) of branches and/or opening (closing) of offices of the Company;
- 3) Information of facts where the Company's Board of Directors failed to make decisions which are to be made in accordance with federal laws:
  - on convocation of an annual (regular) General Meeting of Shareholders of the Company, as well as other decisions related to the preparation, convocation and holding of the annual meeting of shareholders (participants) of the Company;

- on convocation (holding) or refusal to convene (hold) the extraordinary General Meeting of Shareholders of the Company at the request of the Company's Audit Commission (inspector), Company's Auditor or shareholders (shareholder) owning not less than 10 percent of the voting shares of the Company;
  - on inclusion or refusal to include the submitted issues on the agenda of the General Meeting of Shareholders, and nominated candidates to the list of candidates to be elected to a corresponding body that were proposed by shareholders (shareholder) owning no less than 2 percent of the voting shares;
  - on establishment of the Company's one-person executive body during two consecutive meetings of the Company's Board of Directors or within two months from the date of termination or expiration of powers of the previously formed one-person executive body of the Company in the case stipulated by Paragraph 6 of Article 69 of Federal Law No. 208 -FZ *On Joint-Stock Companies*;
  - on early termination of powers of the Company's one-person executive body at two consecutive meetings of the Company's Board of Directors in the case provided for by Paragraph 7 of Article 69 of the Federal Law *On Joint-Stock Companies*;
  - on convocation (holding) of the extraordinary general meeting of the Company's shareholders in cases where the number of members of the Company's Board of Directors falls below the number constituting a quorum for a meeting of the Company's Board of Directors;
  - on establishment of the temporary one-person executive body and holding an extraordinary General Meeting of Shareholders to decide upon the issue on early termination of authorities of the one-person executive body or managing company (managing director) and on establishment of a new one-person executive body or transfer of authorities of the one-person executive body to a managing company (manager) in cases when the Board of Directors adopts a resolution on the suspension of authorities of the one-person executive body or authorities of a managing company (manager);
  - on recommendations on the voluntary, including competitive or mandatory proposal received by the Company and including the evaluation of the suggested price of acquired issuable Securities and possible changes in their market value after the acquisition, evaluation of plans of the person who submitted the voluntary, including competitive or mandatory proposal related to the Company, including that with respect to its employees;
- 4) Information about submission by the Company of an application concerning introduction of notes regarding restructuring, termination of operations or liquidation to the Uniform State Register of Legal Entities, and in cases when the body responsible for state registration of legal entities adopts a resolution on the refusal to introduce such notes, the Company submits information on the adopted resolution;
- 5) Information about the appearance of an affiliated company that has a significant importance for the Company as well as termination of control over such company;
- 6) Information about the appearance of a person controlling the Company as well as termination of such control;
- 7) Information about the adoption of a resolution on restructuring or liquidation by a company controlling the Company, by an affiliated company that has a significant importance or by a person who gave security on the Company's bonds;
- 8) Information about the introduction of notes regarding restructuring, termination of operations or liquidation of a company controlling the Company, an affiliated company that has a significant importance or of a person who gave security on the Company's bonds to the Unified State Legal Entities Register;
- 9) Information about the appearance of indicia of insolvency (bankruptcy) stipulated by the Russian legislation on insolvency (bankruptcy) in the Company, company controlling the Company, affiliated company that has a significant importance or a person who issued a pledge using the Company's bonds;
- 10) Information about the receipt by a court of arbitration of an application concerning the acknowledgement of bankruptcy of the Company, company controlling the Company, affiliated company that has a significant importance or a person who issued a pledge using the Company's

bonds as well as adoption by a court of arbitration of a resolution on the acknowledgement of bankruptcy of such entities, initiation of certain bankruptcy proceedings in relation to them, or the termination of bankruptcy proceedings;

11) Information about the commencement of an action against the Company, controlling company, affiliated company that has a significant importance or a person who issued a pledge using the Company's bonds, the amount of which is 10 percent and more of the book value of assets owned by the entities as of the end date of the reporting period (quarter, year) preceding the commencement of action, regarding which the expiration of the set period for financial reporting took place or other claim which satisfaction, in the Company's opinion, can have a significant impact on financial operations of the Company or such entities;

12) Information about the date when the list of owners of registered issuable Securities or documentary bearer securities with centralized custody is prepared with a view to the implementation of rights set forth by such Securities;

13) Information about stages of the Company's issuable Securities issue;

14) Information about the suspension and reopening of the Company's issuable Securities issue;

15) Information about finding the Company's issuable securities issue (additional issue) invalid or void;

16) Information about the redemption of the Company's issuable Securities;

17) Information about the accrued and/or paid income on the Company's issuable Securities;

18) Information about the execution of a contract with a Russian Securities Market Trade Organizer on the inclusion of the Company's Securities into the list of securities admitted for trading by it as well as a contract with a Russian stock exchange on the inclusion of the Company's securities into the Russian Stock Exchange Quotation List;

19) Information about the execution of a contract on the inclusion of the Company's issuable Securities admitted for trading by a Russian Securities Market Trade Organizer in the stock exchange list or their removal from this list as well as a on the inclusion of the Company's issuable Securities into the Russian Stock Exchange Quotation List or their removal from this list;

20) Information about the execution of a contract on the inclusion of the Company's issuable Securities or Securities of a foreign issuer verifying rights regarding the Russian issuer's Securities into the list of securities admitted for trading at a foreign organized (regulated) financial market as well as a contract with a foreign stock exchange on the inclusion of such Securities into its Quotation List;

21) Information about the inclusion of the Company's issuable Securities or Securities of a foreign issuer verifying rights regarding the Securities of the Russian Company into the list of Securities admitted for trading on a foreign organized (regulated) financial market as well as removal of such Securities from the list and inclusion of such Securities into the foreign Stock Exchange Quotation List or their removal from this list;

22) Information about the execution of a contract on support (stabilization) of prices for the Company's issuable securities (securities of a foreign issuer verifying rights regarding the Russian Issuer's Securities) as well as termination of the contract;

23) Information about the submission of an application on the receipt of permission of the Russian FCSM to place and/or arrange circulation of securities outside Russia as well as obtaining of such permission;

24) Information about the failure of the Company to discharge liabilities to the owners of its issuable Securities;

25) Information about the acquisition or termination of right of a person directly or indirectly (via affiliated entities) independently or together with other persons linked with him via a contract on trust management and/or special partnership, and/or agency contract, and/or shareholder agreement, and/or other agreement, the subject matter of which is the execution of rights granted by the Company's shares, to manage a certain amount of votes entitled by voting shares comprising the equity capital, in case the number of votes is 5 percent or became more or less than 5, 10, 15, 20, 25, 30, 50, 75 or 95 percent of overall votes entitled by the voting shares comprising the charter capital;

- 26) Information about the receipt by the Company, under Chapter XI<sup>1</sup> of the Federal Law *On Joint-Stock Companies*, of a voluntary, including competitive, or mandatory offer to acquire its issuable Securities as well as amendments introduced to the offers;
- 27) Information about the receipt by the Company, under Chapter XII of the Federal Law *On Joint-Stock Companies*, of a notification of the right to demand redemption of the Company's issuable Securities or claim on redemption of the Company's Securities;
- 28) Information about the disclosure or provision of the Company's quarterly reports envisaged by sub-paragraph 1 of paragraph 4 of Article 30 of Federal Law No. 39-FZ *On the Securities Market* dated April 22, 1996;
- 29) Information about the disclosure or provision of the Company's interim (quarterly) or annual consolidated accounting (financial) statements, including that prepared in accordance with International Financial Reporting Standards and other foreign financial reporting standards, and the provision of an auditor's report prepared in respect of such statements;
- 30) Information about the discovery of mistakes in the Company's accounting (financial) reporting disclosed or provided earlier;
- 31) Information about the settlement of a transaction with the amount of 10% and more of the book asset value of the Company or the person as of the end date of the reporting period (quarter, year) preceding the transaction in relation to which the term for accounting (financial) reporting submission has expired;
- 32) Information about the settlement of a transaction acknowledged under Russian legislation as a major transaction by a controlling company or affiliated company having significant importance for the Company;
- 33) Information about the settlement of a non arm's-length transaction subject to approval by the Company's authorized body as is stipulated by Russian legislation, in case the amount of such transaction is 2 percent and more of the book asset value as of the end date of the reporting period (quarter, year) preceding the approval of transaction by the authorized management body of the Company, and in case such transaction was not approved by the authorized management body prior to the settlement, as of the end date of the reporting period (quarter, year) preceding the settlement of such transaction regarding which the set term for accounting (financial) reporting submission expired;
- 34) Information about the change in pledge and/or its amount on collateral bonds, and in case of changes in pledge and/or its amount on mortgage bonds – information on such changes if they are due to substitution of any mortgage claim being mortgage collateral of the bonds or substitution of other property being a mortgage coverage of the bonds which value (monetary evaluation) totals 10% and more of the amount of mortgage collateral of the bonds;
- 35) Information about the changes in the value of assets owned by a person who gave security on the Company's bonds comprising 10% and more or in other significant change, in the Company's opinion, in financial and operating situation of such person;
- 36) Information about the acquisition or termination of a right of the Company directly or indirectly (via controlled entities) independently or together with other persons linked with it via a contract on trust management and/or special partnership, and/or agency contract, and/or shareholder agreement, and/or other agreement, the subject matter of which is the execution of rights entitled by the shares (interests) of the company which issuable Securities are included into the list of Securities admitted for trading by a Securities Market Trade Organizer or which asset value exceeds RUB 60 bln., to manage a certain amount of votes entitled by voting shares (interests) comprising the equity capital of this company, in case the number of votes is 5 percent or became more or less than 5, 10, 15, 20, 25, 30, 50, 75 or 95 percent of overall votes entitled by the voting shares comprising the equity capital;
- 37) Information about the signing of an agreement implying the obligation to purchase issuable Securities of the Company by that Company, an entity controlling it or an organization controlled by the Company;
- 38) Information about the receipt, suspension, resumption, reissuance, withdrawal (cancellation) or any termination of the validity of the Company's permit (license) to do certain business having financial and operational importance for that Company;
- 39) Information about the expiry of the period of authority of the one-person executive body and/or members of the collective executive body of the Company;
- 40) Information about the modification of the share in the capital stock of the Company and the organizations controlled by the Company that have vital importance for it;

- persons that are members of the Board of Directors, members of a collective executive body of the Company and the person occupying the position (performing the functions) of the one-person executive body of the Company;
  - persons that are members of the Board of Directors, members of a collective executive body of the managing organization and the person occupying the position (performing the functions) of the one-person executive body of the managing organization if the authorities of the one-person executive body of the Company were transferred to the managing organization;
- 41) Information about the emergence and/or termination of the right of the Company bond owners to demand early redemption of the Company's bonds owned by them;
- 42) Information on the assignment of rating to issuable Securities and/or Company or on its modification by a rating agency on the basis of the agreement with the Company;
- 43) Information about the engagement or replacement of organizations providing mediation services to the Company provided that the issuer fulfills its obligations related to bonds or other issuable Securities of the Company, indicating their names, locations and sizes of compensation for the provided services, as well as on the modification of the aforesaid information;
- 44) Information about disputes related to the creation of the Company, its management or participation in it, including on the initiation of proceedings by a court of arbitration and the committal of the request (statement of action) for trial, on the modification of the cause or subject of the action brought, on the adoption of interim measures, on the abandonment of action, on the adoption of action, on an amicable settlement, on the performance of a judicial act under which the hearing of a case by arbitration court of the first-instance is completed;
- 45) Information about the placement of demands on the person that issued a pledge using the Company's bonds when such demands are related to the execution of obligations under such bonds;
- 46) Information about the placement of bonds or other financial instruments attesting loan liabilities outside the Russian Federation if they are performed at the expense of the Company;
- 47) Information on the decision of the Russian Federal Financial Markets Service to relieve the Company of its information disclosure obligation;
- 48) Information on the purchase (alienation) of the Company's voting shares or of a foreign issuer's Securities attesting the rights related to the Company's voting shares by the Company and/or any affiliates of the Company, except affiliated organizations that are brokers and/or trust managers and which executed the transaction on their own account but at the expense of a client that is not the Company and/or an affiliate thereof;
- 49) Information sent or provided by the Company to the relevant body (relevant organization) of a foreign state, foreign stock exchange and/or other organizations according to the foreign law for the purposes of their disclosure or provision to foreign investors with regard to the placement or circulation of issuable Securities of the Company outside the Russian Federation, including by purchasing placed (posted) Securities of a foreign issuer according to the foreign law;
- 50) Information constituting accounting (financial) reporting of the Company, including its consolidated accounting (financial) reporting;
- 51) Information constituting the terms of placement of issuable Securities defined by a decision on the issue (additional issue) of Securities approved by an authorized body of the Company in the event of:
- placing Securities at trading sessions held by the Trading Organizer on Securities Market;
  - placing additional issue Securities if the Securities of the issue corresponding to the relevant additional issue were admitted to the trading session of the Trading Organizer on the Securities Market or if a request for their admission to the trading session of the Trade Organizer on the Securities Market was filed;
- 52) Information contained in the report (notification) on the results of the issue of such issuable Securities approved by an authorized body of the Company in the event of:
- placing Securities at the trading sessions held by the Trade Organizer on the Securities Market;
  - placing additional issue Securities if the Securities of the issue corresponding to the relevant additional issue were admitted to the trading session of the Trade Organizer

on the Securities Market or if a request for their admission to the trading session of the Trade Organizer on the Securities Market was filed;

53) Information contained in the Securities Prospectus approved by an authorized body of the Company, except information that was previously disclosed or provided according to the requirements of the law of the Russian Federation on Securities;

54) Information contained in quarterly reports signed by authorized persons of the Company that was previously disclosed or provided according to the law of the Russian Federation on Securities;

55) Information contained in the annual reports of the Company signed by the Company's authorized persons, except the information that was previously disclosed or provided according to the law of the Russian Federation on Securities.